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## CRISPR/Cas9: A versatile tool for genome editing in crop improvement

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### Abstract

In a recent era of modernity, a lot of trends have been set in Science and Technology. Despite of vast discoveries always some gaps exists. To bridge up them new trends are set which are commonly known as emerging trends. Although a number of emerging trends have been established but they are specific in their nature to fix the emerging or previous issues. Now a days, in the biological sciences, CRISPR/Cas9 system an emerging approach has been agreed to accomplish the task associated with genome editing (DNA). CRISPR/Cas9 (clustered regularly interspaced short palindromic repeat)-Cas9 is a multipurpose technology for genetic engineering that relies on the complementarity of the guide RNA (gRNA) to a specific sequence and the Cas9 endonuclease activity. It has broadened the agricultural research area, bringing in new opportunities to develop novel plant varieties with deletion of detrimental traits or addition of significant characters. This RNA guided genome editing technology is turning out to be a ground-breaking innovation in distinct branches of plant biology. CRISPR technology is constantly advancing including options for various genetic manipulations like generating knockouts; making precise modifications, multiplex genome engineering and activation and repression of target genes. This system used for improvement of plant nutrition's, enhancement of plant disease resistance and production of drought tolerant plants. This revolutionary system is easy to handle, eco-friendly and easily executable in every biological field including agriculture, health, virology and genetic disorders.

**Keywords:** CRISPR/Cas9, sgRNA, knockouts, mutation and DNA

### Introduction

Over the next 30 years, the world is expected to look for ways to feed additional two billion people. This implies we are responsible to produce a lot more food. Among the different options, one way we might be able to accomplish this is by engineering plants to make them more nutritious, grow faster and more resilient to various stresses. Advancements in Genome editing technologies have revolutionized the fields of functional genomics and crop improvement (Arora and Narula, 2017) [7]. Functional genomics aims on identification of gene function that is achieved by reducing or completely disrupting the normal gene expression. Genome editing is a powerful technique for gene functional studies, genome modification in molecular research, gene therapy and crop breeding, and has the great advantage of imparting novel desired traits to genetic resources. However, the genome editing of plantlets remains to be established. (Sun *et al.*, 2015) [9]. Once the desired alterations are achieved, transgenes can be crossed out from the improved variety. Traditionally, the crops were being improved by the conventional and mutation plant breeding techniques leading to point mutations, deletions, rearrangements, and gene duplications, which are now getting constrained by the declining of existing genetic variation of plants, hampering the production for future feeding (Chen and Gao, 2014) [21].

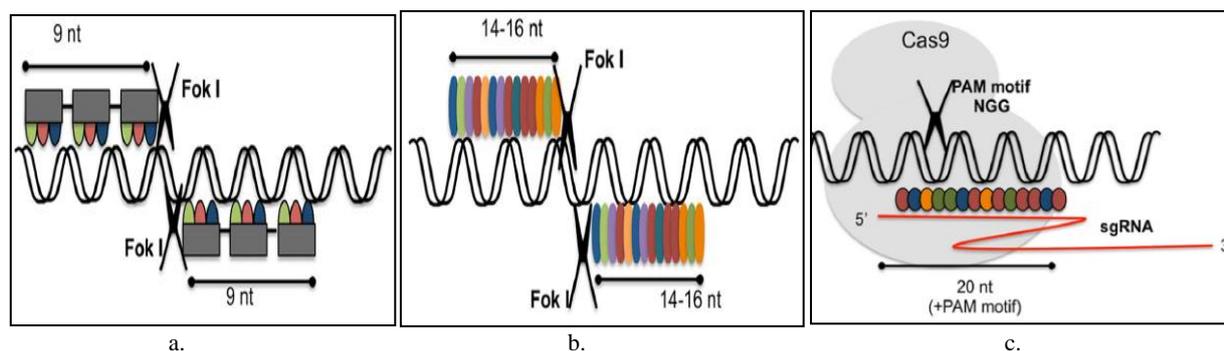
In a recent era of modernity, a lot of trends have been set in Science and Technology. Despite of vast discoveries always some gaps exists. To bridge up them new trends are set which are commonly known as emerging trends. Although a number of emerging trends have been established but they are specific in their nature to fix the emerging or previous issues (Hassan *et al.*, 2017) [40]. Over a decade RNAi technology serves as a magic bullet in the genome editing (Das, 2018). RNAi or RNA interference is a sequence-specific method to silence genes by introducing small double-stranded RNA which mediates with nucleic acids and regulate gene expression. Targeted genome editing with site specific nucleases opens an efficient and precise pathway for reverse genetics, genome engineering and targeted transgene integration experiments (Das, 2018).

The advent of site-specific nucleases (SSNs) highlighted the importance of site directed mutagenesis over random mutagenesis (Osakabe *et al.*, 2010; Sikora *et al.*, 2011) [10, 89]. Random mutagenesis has also its own list of shortcomings too. It produces multiple undesirable rearrangements and mutations, which are expensive and very complex to screen. Gene editing uses engineered SSNs to delete, insert or replace a DNA sequence (Arora and Narula, 2017) [7]. There is an urgent need for efficient crop improvement strategies with novel genome editing techniques like engineered endonucleases/mega-nucleases, zinc finger nucleases (ZFNs), transcription activator-like effector nucleases (TALENs) and type II clustered regularly interspaced short palindromic repeat (CRISPR)/CRISPR-associated protein 9 (Cas9) (Figure 1) paved the way for single nucleotide excision mechanism for crop improvement (Pabo *et al.*, 2001; Boch *et al.*, 2009; Moscou and Bogdanove, 2009) [81, 13, 76]. In mere 3 years, this technique has been trending vast with numerous examples of targeted mutagenesis and targeted regulation of transcriptional control in model as well as crop plants which clearly demonstrates the revolutionary aspects of this novel system (Khatodia *et al.*, 2016) [104]. These genome editing technologies use programmable nucleases to increase the specificity of the target locus.

Genome editing modifies a specific genome in precise and predictable manner. There could be varieties of genes, which could be altered in different cell types and organisms with the aid of nucleases that offer targeted alterations. ZFNs is one of the oldest gene editing technologies, developed in the 1990s and owned by Sangamo BioSciences. ZFNs are premeditated restriction enzymes having sequence specific DNA binding zinc finger motifs and non-specific cleavage domain of FokI endonuclease. An array of 4-6 binding modules combines to form a single zinc finger unit. Each module recognizes a codon (Pabo *et al.*, 2001) [81]. A pair of ZFNs together identifies a unique 18-24 bp DNA sequence and double stranded breaks (DSBs) are made by FokI dimer. FokI nucleases are naturally occurring type IIS restriction enzymes that introduce single stranded breaks in a double helical DNA. Hence FokI functions as a dimer, with each catalytic monomer (nickase) cleaving a single DNA strand to create a

staggered DSB with overhangs (Pabo *et al.*, 2001) [81]. ZFNs have been successfully employed in genome modification of various plants including tobacco, maize, soybean, etc. (Curtin *et al.*, 2011; Ainley *et al.*, 2013; Baltes *et al.*, 2014) [27, 2, 8]. It was taken back due to some drawbacks such as time-consuming and expensive construction of target enzymes, low specificity and high off-target mutations that eventually made way for the new technology. TALENs turned out to be a substitute to ZFNs and were identified as restriction enzymes that could be manipulated for cutting specific DNA sequences. Traditionally, TALENs were considered as long segments of transcription activator-like effector (TALE) sequences that occurred naturally and joined the Fok I domain with carboxylic-terminal end of manipulated TALE repeat arrays (Christian *et al.*, 2010) [25]. TALENs contain a customizable DNA-binding domain which is fused with non-specific Fok I nuclease domain (Christian *et al.*, 2010) [25]. TALENs compared to ZFNs, involve the interaction of individual nucleotide repeats of the target site and amino acid sequences of TAL effector proteins. They can generate overhangs by employing Fok I nuclease domain to persuade site-specific DNA cleavage. It has been widely used to generate non-homologous mutations with higher efficiencies in diverse organisms (Joung and Sander, 2012) [56].

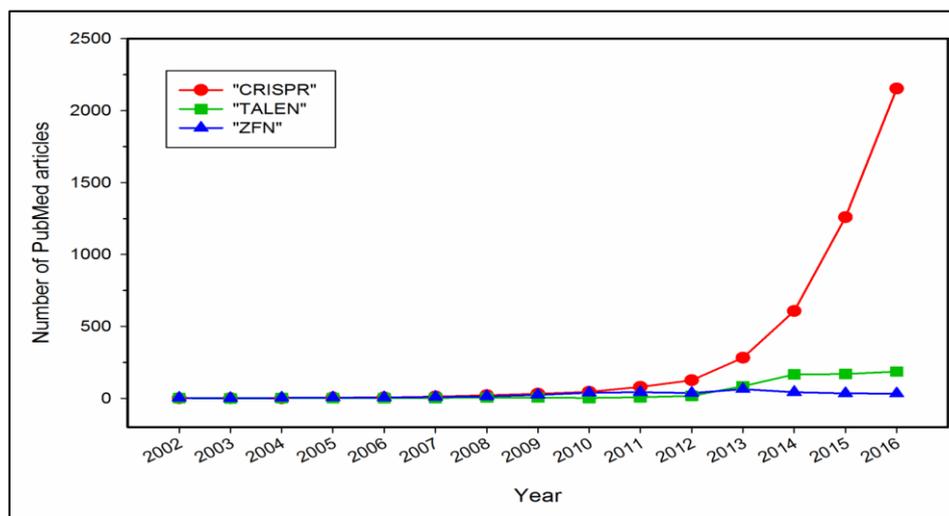
CRISPR/Cas9 targets specific genomic loci with the help of approximately 100 nucleotide (nt) guide RNA (gRNA) sequence. The sgRNA binds to the protospacer adjacent motif (PAM) on targeted DNA *via* Watson and Crick base pairing through 17-20 nt at the gRNA 5'- end and guide Cas9 for specific cleavage (Tsai *et al.*, 2015). Cas9 stimulates the DNA repair mechanism by introducing DSBs in the target DNA. Repair mechanism involves error prone non-homologous end joining (NHEJ) or homologous recombination (HR) to produce genomic alterations, gene knockouts and gene insertions (Figure 2). NHEJ by far is the most common DSB repair mechanism in somatic plant cells (Puchta, 2005) [84]. Random insertions or deletions by NHEJ in the coding region lead to frame shift mutations, hence creating gene knockouts. CRISPR technology holds potential for loss-of-function, gain-of-function and gene expression analysis.



**Fig 1:** Various genome-editing tools. (A) Zinc-finger nucleases (ZFNs) act as dimer. Each monomer consists of a DNA binding domain and a nuclease domain. Each DNA binding domain consists of an array of 3-6 zinc finger repeats which recognizes 9-18 nucleotides. Nuclease domain consists of type II restriction endonuclease FokI. (B) Transcription activator-like nucleases (TALENs): these are dimeric enzymes similar to ZFNs. Each subunit consists of DNA binding domain (highly conserved 33-34 amino acid sequence specific for each nucleotide) and FokI nuclease domain. (C) CRISPR/Cas9: Cas9 endonuclease is guided by sgRNA (single guide RNA: crRNA and tracrRNA) for target specific cleavage. 20 nucleotide recognition site is present upstream of protospacer adjacent motif (PAM).

The emergence of CRISPR technology supersedes ZFNs and TALENs and used widely as a novel approach from “methods of the year” in 2011 to “breakthrough of the year” in 2015

(Figure 2) for their captivated genome editing (Arora and Narula, 2017) [7].



**Fig 2:** Graphical representation of research papers published in various publications.

### Comparative Analysis of different genome editing techniques

CRISPR/Cas9 system has a lot of advantages over other old fashioned genome editing techniques. *i.e.*, Zinc finger nucleases (ZFNs) and Transcription activator like effectors nucleases (TALENs). In ZFNs and TALENs chimeric proteins are programmed against target DNA sequence in every experiment and this was the major issue associated for the wide spread of these genome editing techniques. But in CRISPR/Cas9 system there is no need to design Cas9 protein to target DNA sequence. It employs its own RNA guided nuclease proteins to cleave the specific target site (Mao *et al.*, 2013; Hassan *et al.*, 2017) [72, 40]. Additionally, success rate of CRISPR/Cas9 system is too high as compared to ZFNs and TALENs. CRISPR/Cas9 system has very low off target effects as compared to ZFNs and TALENs. Furthermore, CRISPR/Cas9 system can be used for multiple genome engineering. This concept is based on designing of many

sgRNA which leads to the editing of multiple target sites simultaneously. Usually, multiplexing is used for more complex target genes. Any genomic region in DNA can be targeted by CRISPR Technology by designing sgRNA and specific desired results can be achieved. A brief comparison of genome editing tools is given below in TABLE 1 (Kim and Kim, 2014; Hassan *et al.*, 2017) [58, 40].

In this review, we have summarized the broad applicability of Cas9 nuclease mediated targeted genome editing of plants for development of designer crops. The Cas9 system has been used extensively for gene knockouts, gene replacement, multiplex editing, interrogating gene function, and transcription modulation in animals and plants. The opportunities of the gene editing with Cas9/sgRNA for obtaining climate resilient and bio-energy agriculture and to develop non- GM plants along with regulating the uncertainty and the social acceptance of this new plant breeding technique have been prospected in this article.

**Table 1:** Comparison between different genome editing techniques

Sr. No.	Characteristics	CRISPR/Cas9 System	ZFN	TALEN
1.	Target region specifying unit	SgRNA	Zinc finger DNA binding domain	TALEN DNA binding domain
2.	Enzyme	Cas9 protein	FokI	FokI
3.	base pair length of target site	20-22	18-36	30-40
4.	Success rate	High (>99%)	Low (24%)	High (>99%)
5.	Modifications	More (20%)	Less (10%)	More (20%)
6.	Restriction site	PAM region (NGG or NAG)	G-rich	A and T are the first and last bases
7.	Types	Three	No	No

### CRISPR/Cas9 System

The CRISPR/Cas9 system, an adaptive immunity system against foreign nucleic acid invaders in prokaryotes, exists in most archaea and numerous bacteria (Koonin and Makarova, 2009) [59].

### Background of CRISPR/Cas9 System

CRISPR progress in today's world as genome editing tool can be traced back to its origin in the late 1980s (Ishino *et al.*, 1987) [46] and a decade of extensive experimentation since 2005 (Figure 3). CRISPR/Cas9 microbial adaptive immune

system and its progress till date is the outcome of the work of numerous researchers around the globe. A series of comprehensive reviews (Bortesi and Fischer, 2015; Amitai and Sorek, 2016; Puchta, 2016) [15, 4, 85] gives the detailed information of each aspect of CRISPR/Cas technology.

The word "CRISPR/Cas9" is as Clustered Repetitive Interspaced Short Palindromic Repeats. (Figure 4) Cas9 is a multi-domain nuclease protein (Mojica and Montoliu, 2016) [75]. CRISPR/Cas9 technology is a genome editing approach involving the insertion, deletion and substitution of a specific targeted genome sequence (Belhaj *et al.*, 2015) [11].



**Fig 3:** Key discoveries and advances in CRISPR/Cas9 technology

It has a prokaryotic origin and initially it was reported in *Escherichia coli* (1987) and *Streptococcus pyogenes* (1991). Subsequently it is also recognized in archaea in 1993. In 2002, a group of Netherland “Francisco J.M. Mojica” coined the acronym “CRISPR”. Finally, Jennifer Doudna introduced the CRISPR Cas9 system as a new efficient genome editing tool in 2013 in *Streptococcus pyogenes* and in the same year

first report on genome editing in mice by employing CRISPR Cas9 system was published (Ishini *et al.*, 1987; Hermans *et al.*, 1991; Cong *et al.*, 2013; Mali *et al.*, 2013) [46, 41, 26, 70]. For this purpose, mouse embryonic stem cells were harvested. CRISPR/Cas9 system is used as a type II adaptive immune system (Sorek *et al.*, 2013) [90] in *Streptococcus pyogenes* to mask themselves from bacteriophages.

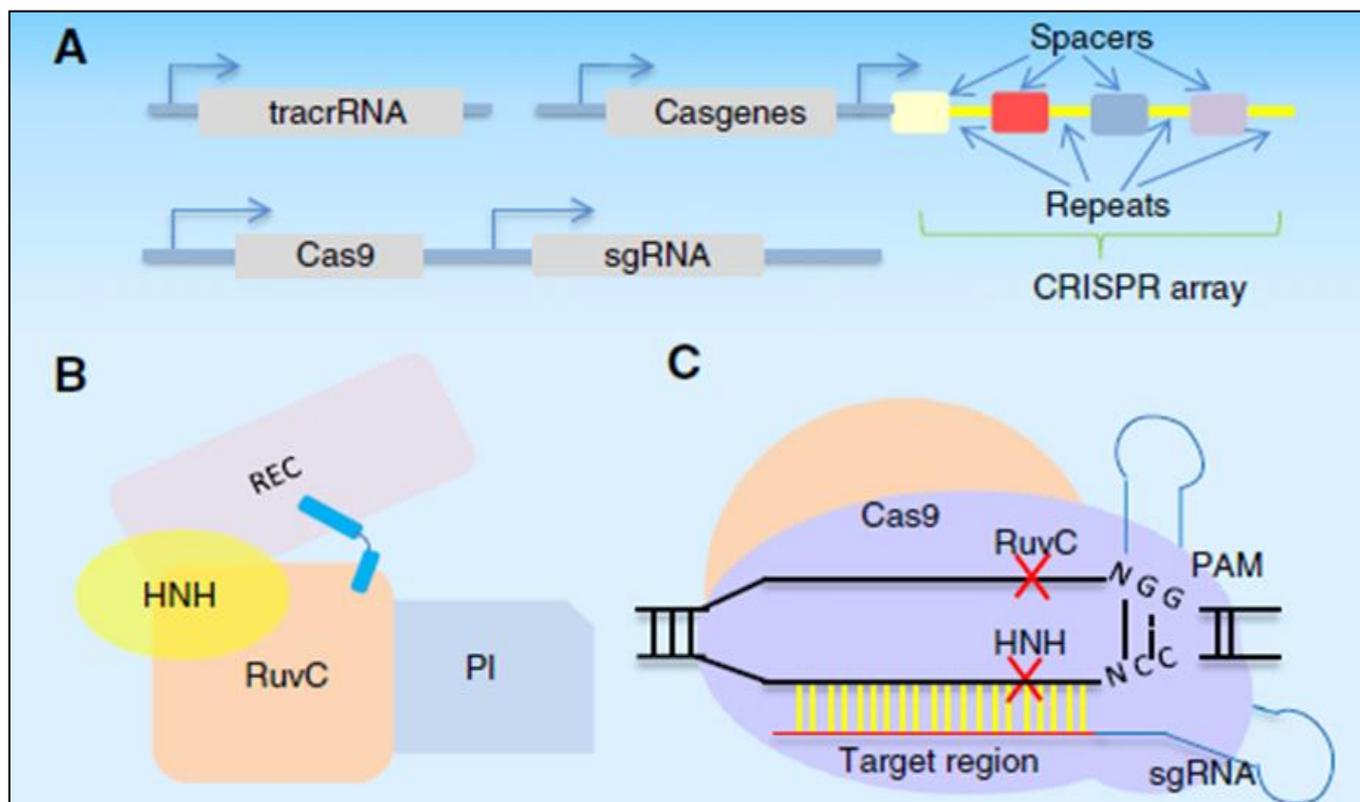


**Fig 4:** Structure of CRISPR loci with tracrRNA (Black) (Trans activating CRISPR RNA), spacers (boxes in different colour) and short palindromic repeats (boxes in black colour).

#### Assembly Units and Basic Strategy of CRISPR/Cas9 System

CRISPR Cas9 system cleaves the foreign DNA via mainly

two components i.e., Cas9 endonuclease enzyme, sgRNA and CRISPR arrays where all immunological memories are engraved. (Figure 5A)



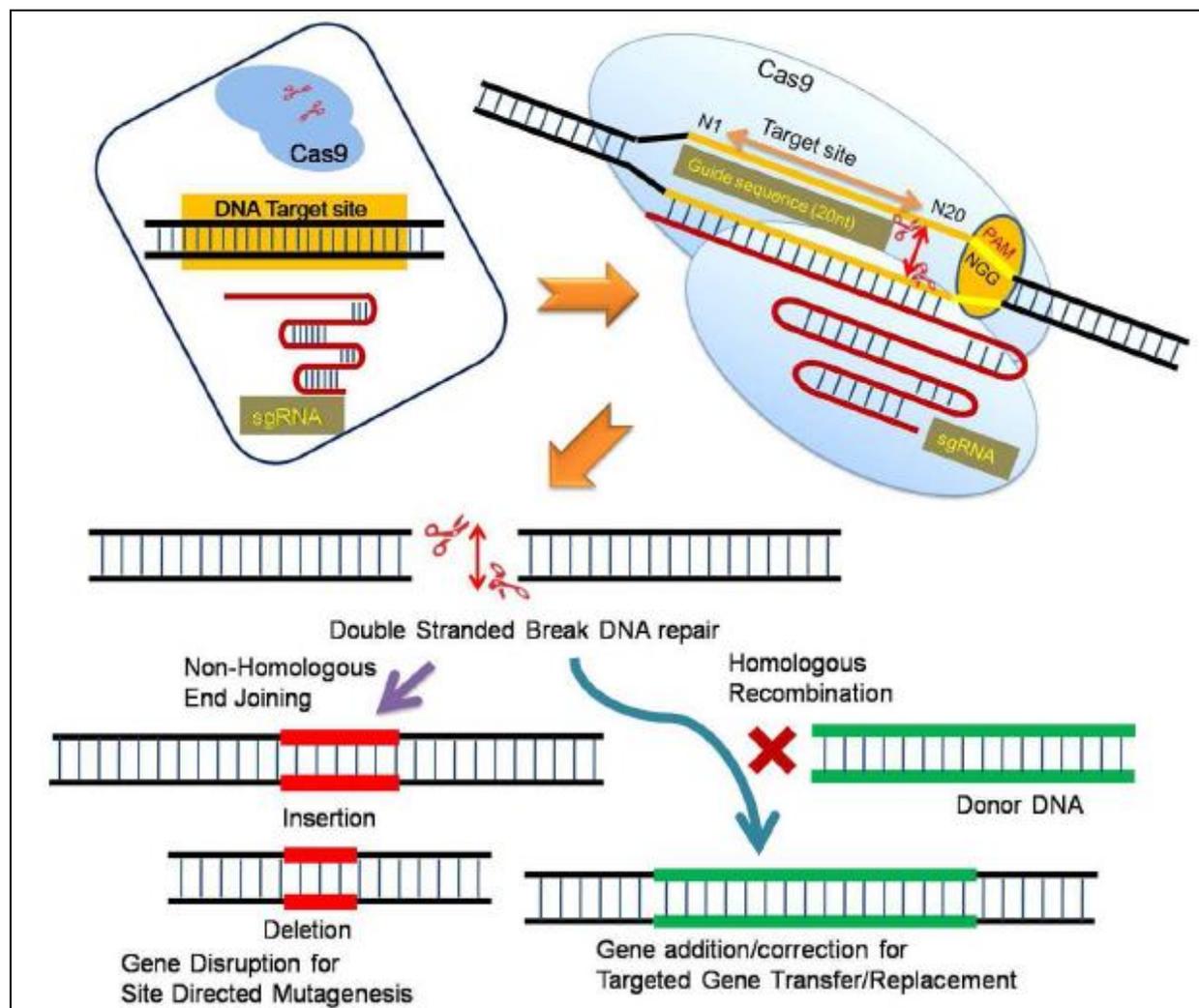
**Fig 5:** Components of the type II CRISPR/Cas9 system. A. Genomic structures of the native bacterial CRISPR/Cas system (top) and the engineered CRISPR/Cas9 system (bottom). tracrRNA, trans-activator RNA; sgRNA, single guide RNA; B. A schematic representation of the Cas9 protein structure. Domains include REC (large recognition lobe) and RuvC (a nuclease domain) which is linked with an arginine-rich region. HNH is a second nuclease domain. PI, PAM-interacting domain. C. The conformation of Cas9-sgRNA complex in the process of DNA cleavage.

Cas9 contains two domains namely HNH Domain and RuvC like Domain. (FIGURE 5B) The HNH domain cuts the complementary strand of crRNA, while the RuvC like domain cleaves the opposite strand of double strand of genomic DNA. The sgRNA is a synthetic chimeric RNA with a length of about 100nt. The crRNA and tracrRNA combinably form chimeric sgRNA (Doudna and Charpentier, 2014) [30]. CRISPR array is a genomic locus having series of 21-40 bp repeat sequences (direct repeats) interspaced by 25-40 bp variable sequences (spacers) (Jansen *et al.*, 2002; Tang *et al.*, 2002) [49, 93]. In 2005, three independent research groups (Bolotin *et al.*, 2005; Mojica *et al.*, 2005; Pourcel *et al.*, 2005) [14, 74, 82] hypothesized the role of spacer elements as traces of past invasions of foreign DNA that provide immunity against phage infection. They also noted that spacers share a common end sequence, now known as PAM. Barrangou *et al.* (2007) [10] experimentally demonstrated the involvement of CRISPR arrays in resistance to bacteriophages in association with Cas genes. At every infection, new phage DNA gets incorporated into the CRISPR array building potential to fight the upcoming infection.

The CRISPR arrays, including the spacers, (Figure 5A) are transcribed during subsequent encounters with invasive DNA and are processed into small interfering CRISPR RNAs (crRNAs) approximately 40 nt in length, which combine with the transactivating CRISPR RNA (tracrRNA) to activate and guide the Cas9 nuclease (Barrangou *et al.*, 2007) [10]. Role of CRISPR RNA (crRNA) in target recognition and trans-

activating crRNA (tracrRNA in crRNA maturation & stabilization of Cas9 protein during complex formation. (Jinek *et al.*, 2012) [54]. (FIGURE 5C) CRISPR and tracrRNA have non-coding ability and partially complement to each other. (Feng *et al.*, 2013) [33]. The sgRNA having 5' end has a 20nt sequence that act as a guide sequence to identify the target sequence accompanied by a protospacer adjacent motif (PAM) sequence, which is often the consensus NGG (N-any nucleotide; G-Guanine). The loop structure at the 3' end of the sgRNA can anchor the target sequence by the guide sequence and form a complex with Cas9, which cleaves the double stranded DNA by forming double stranded break (DSB) at that site (Das, 2018). (Figure 6)

Once the DSB is generated, the host DNA breakage machinery activates and repairs the DNA double strand break with non-homologous end-joining (NHEJ) or homologous recombination (HR) mechanisms. (FIGURE 6) In NHEJ, the host cellular DNA repair systems will tether the DNA double strand break by random insertion or deletion of short stretches of oligonucleotide bases. This mechanism results in the disruption of codon-reading frame followed by disrupting the gene expression. In HR, introduction of segment of DNA with regions having homology to the sequence of flanking both sides of the DNA double strand break will lead to the repair by host machinery through the incorporation of the extra segment of the DNA fragment (Chang *et al.*, 2015) [19]. (Figure 6)

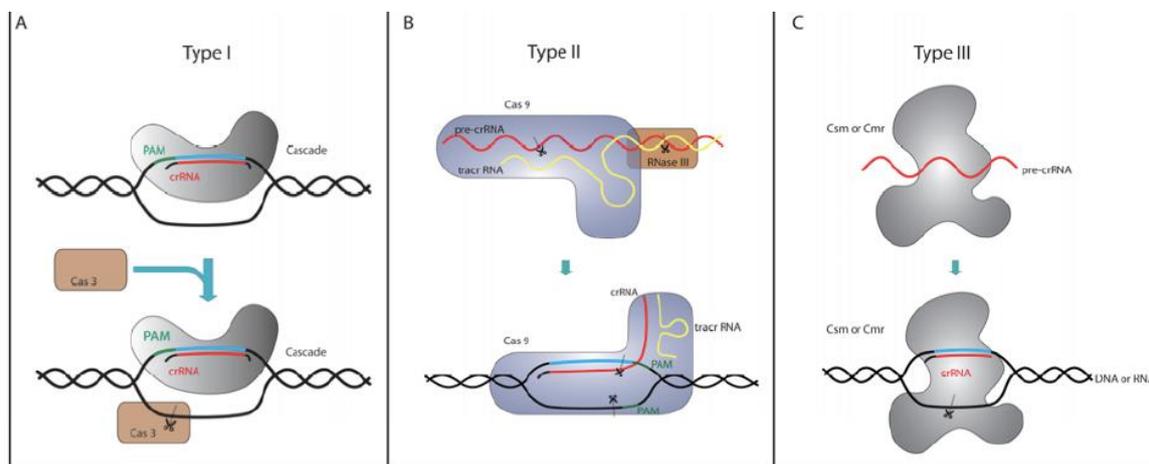


**Fig 6:** The basic strategy of Cas9/sgRNA system. The Cas9 is a RNA guided endonuclease consists of two nuclease domains namely HNH and RuvC. The target specificity of Cas9 depends upon the guide sequence (20nt) short guide RNA (sgRNA). The target sites must lay immediately 5' of a PAM (Protospacer Adjacent Motif) sequence of the form N20-NGG (or N20-NAG). The Cas9 nuclease induces double stranded breaks (DSB) at the target site which can be repaired either by Non-Homologous End Joining method or Homologous Recombination by cellular system which results in gene disruption by indels or gene addition/correction, respectively. (Khatodia *et al.*, 2016) <sup>[104]</sup>

### Classification of CRISPR/Cas System

The system has been categorized into three types (I, II, and III) (FIGURE 7) based on the *Cas* genes and CRISPR sequences present (Makarova *et al.*, 2011; Bhya *et al.*, 2011 and Barrangou, 2013) <sup>[68, 9]</sup>. Types I and III contain multiple CAS proteins that form a complex for degrading foreign DNA/RNA. Types I (FIGURE 7A) and III (FIGURE 7C) contain multiple CAS proteins that form a complex for degrading foreign DNA/RNA. Type II directs the cleavage of targeted foreign DNA using a single CAS9 protein, which makes it the system of choice for targeted genome engineering. In the Type II (FIGURE 7B) CRISPR-Cas9 system, CRISPR RNA (crRNA) hybridizes with a small trans-activating CRISPR RNA (tracrRNA) to form mature dual

crRNA (Deltcheva *et al.*, 2011 and Jinek *et al.*, 2012) <sup>[29, 54]</sup>. The mature crRNA combines with Cas9 to form a functional complex. When the complex recognizes a short seed sequence in the vicinity of a typical 5'-NGG-3' protospacer-adjacent motif (PAM) by RNA/DNA base pairing, Cas9 cleaves the target DNA (Kuscu *et al.*, 2014; Wu *et al.*, 2014; Anders *et al.*, 2014; Hsu *et al.*, 2014) <sup>[60, 96, 5, 43]</sup>. Mature crRNA containing tracrRNA and crRNA can be replaced in the laboratory with a single synthetic guide RNA (sgRNA) (Jinek *et al.*, 2012) <sup>[54]</sup>. Consequently, only sgRNA and Cas9 protein are needed to make genome editing simple and efficient. The CRISPR-Cas9 system has been widely applied in genetic studies of prokaryotes and eukaryotes over the past two years (Hsu *et al.*, 2014) <sup>[44]</sup>.



**Fig 7:** Three different types of CRISPR/Cas system representing the interference step (Richter *et al.*, 2013)

The first attempt to classify CRISPR/Cas system was done by Haft *et al.* (2005) (Arora and Narula, 2017) [7]. He defined 45 CRISPR-associated (Cas) protein families that are categorized into core proteins (Cas1, Cas2, Cas3, Cas4, Cas5, Cas6), 8 CRISPR/Cas subtypes and RAMP (repair associated mysterious protein) module in prokaryotic genomes. Makarova *et al.* (2011) [68] classified CRISPR/Cas systems into three types: type I, type II, and type III depending on the presence of signature Cas3, Cas9 and Cas10 proteins, respectively (Table 2). This system was divided into 10 subtypes depending on the presence of additional signature proteins. This three-type classification system is further modified into two class-five type classification systems depending on the type of signature proteins and CRISPR loci (Makarova *et al.*, 2015) [69]. Major differences between CRISPR classes are based on the composition of crRNP complexes. Class 1 CRISPRs have multiple subunit effector complexes while class 2 CRISPRs concentrates most of their functions with single protein effectors. Class1 CRISPR systems, for example, have different nucleases for pre-crRNA processing, spacer sequence loading, and targeted cleavage processing. In class 2, a single protein performs all of these functions. Type IV and type V belongs to class I and class II systems respectively. Two subtypes of type V system and VI type is also recognized, elaborating the classification to two-class-six-type-19-subtype system (Shmakov *et al.*, 2015; Table 2). Cas1 and Cas2 genes are ubiquitous in all CRISPR/Cas types (Makarova *et al.*, 2011) [68]. CRISPR-Cpf1 (Class II, Type V CRISPR from *Prevotella* and *Francisella*) is an advanced tool that uses a single Cpf1 protein for crRNA processing, target site recognition, and DNA cleavage. *Cpf1* is functionally conserved to Cas9 protein but differs substantially in many aspects. The differences are as follows: it is a ribonuclease that processes precursor crRNA; it recognizes a thymine rich (like 50-TTTN-30) PAM sites (Zetsche *et al.*, 2015a) [101]. PAM sequence is located

upstream of the protospacer sequence and tracrRNA is not required for guiding Cas9 to the target site. The most important characteristic of *Cpf1* is the generation of 4 bp overhangs in contrast to blunt ends produced by Cas9 (Zetsche *et al.*, 2015a) [101]. These sticky ends would provide more efficient genomic insertions due to sequence complementarity into a genome. Among several proteins in the Cpf1 family, LbCpf1 from *Lachnospiraceae* bacterium ND 2006 and AsCpf1 from *Acidaminococcus* sp. BV3L6 act more effectively in human cells compared with other orthologs (Kim *et al.*, 2016) [57]. Class 2 type VI is characterized by an effector protein C2c2 (Class 2, candidate 2). C2c2 contains two nucleotide binding (HEPN) conserved domains, which lacks homology to any known DNA nuclease (Abudayyeh *et al.*, 2016) [1]. HEPN domains function as RNases, hence it is visualized as a new RNA targeting tool guided by a single crRNA which can be engineered to cleave ssRNA carrying complementary protospacers. Hence, C2c2 does not target DNA (Abudayyeh *et al.*, 2016) [1]. C2c2 is similar to type III-A and III-B systems in having HEPN domains that are biochemically characterized as ssRNA specific endoribonucleases but there is a significant line of difference between these two types. Cas10- Csm in type IIIA and Csx in type III B have less target specificity and have to dimerize to form active sites. C2c2, in contrast, contains two HEPN domains and function as monomeric endoribonuclease (Abudayyeh *et al.*, 2016) [1]. Cas9 analogs of C2c2, dC2c2 can be produced by alanine substitution of any of the four predicted HEPN domain. Further examination is required to clarify the mechanism of the C2c2 system and the class of pathogens against which it can protect bacteria. Currently, type VI system is found in *Carnobacterium gallinarum*, *Leptotrichia buccalis*, *L. shahii*, *L. wadei*, *Listeria newyorkensis*, *L. seeligeri*, *L. weihenstephanensis*, *Paludibacter propionicigenes*, and *Rhodobacter capsulatus* (Choi and Lee, 2016) [24].

**Table 2:** Classification of CRISPR/Cas9 System

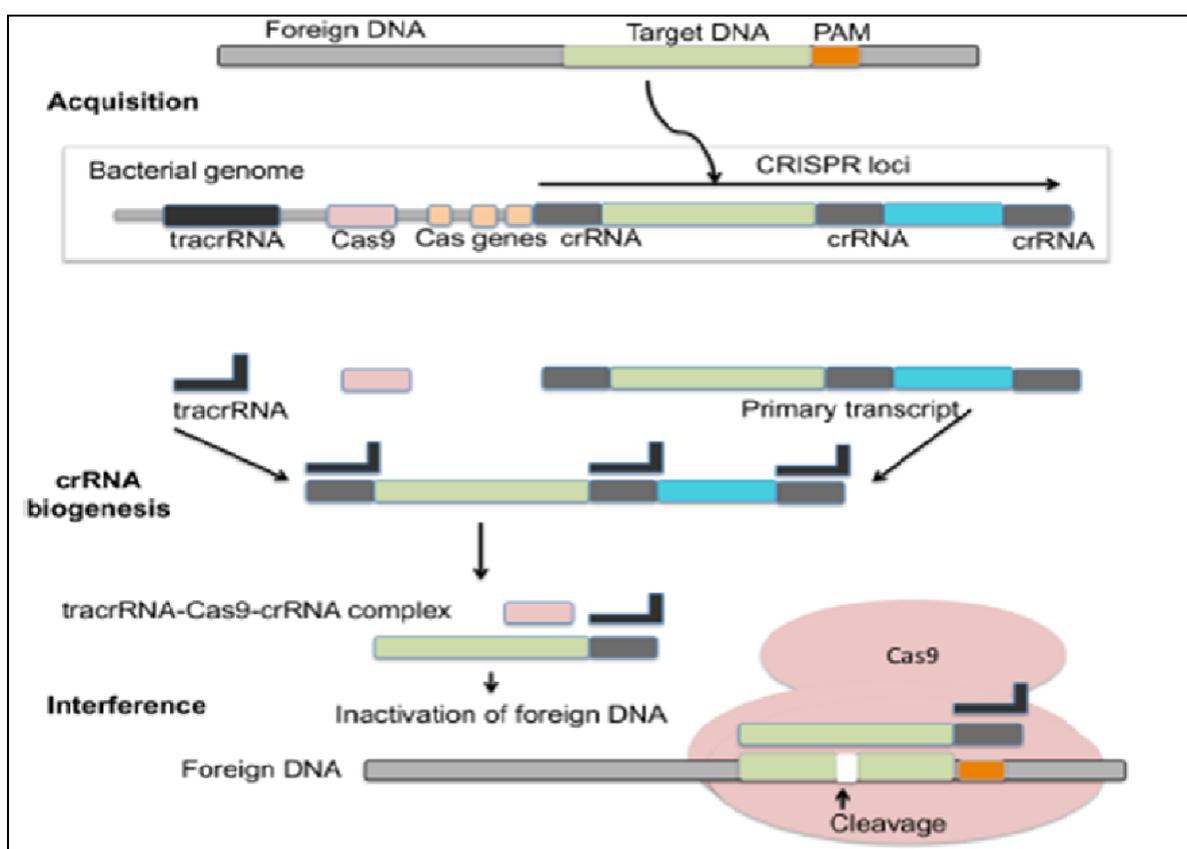
Class	Type	Subtypes	Organism harboring respective types	Signature Cas proteins	Other core proteins
Class 1*	I	I-A	<i>Archaeoglobus fulgidus</i>	Cas3, Cas8	Cas1, Cas2, Cas5, Cas6, Cas7
		I-B	<i>Clostridium kluyveri</i>	Cas3, Cas8	Cas1, Cas2, Cas5, Cas6, Cas7
		I-C	<i>Bacillus halodurans</i>	Cas3, Cas8	Cas1, Cas2, Cas5, Cas7
		I-D	<i>Cyanothece spp.</i>	Cas3, Cas8	Cas1, Cas2, Cas5, Cas6, Cas7
		I-E	<i>Escherichia coli</i>	Cas3, Cas8	Cas1, Cas2, Cas5, Cas7
		I-F	<i>Yersinia pseudotuberculosis</i>	Cas3, Cas8	Cas1, Cas2, Cas5, Cas6, Cas7
		I-U	<i>Geobacter sulfurreducens</i>	Cas3, Cas8	Cas1, Cas2, Cas5, Cas6, Cas7
	III	III-A	<i>Staphylococcus epidermidis</i>	Cas3, Cas8	Cas1, Cas2, Cas5, Cas6, Cas7

	III-B	<i>Pyrococcus furiosus</i>	Cas3, Cas8	Cas1, Cas2, Cas5, Cas6, Cas7
	III-C	<i>Methanothermobacter thermautotrophicus</i>	Cas3, Cas8	Cas5, Cas7
	III-D	<i>Roseiflexus spp.</i>	Cas3, Cas8	Cas5, Cas7
IV	IV	<i>Acidithiobacillus ferrooxidans</i>	Cas3, Cas8	Cas5, Cas7
Class 2*	II	II-A	<i>Streptococcus thermophilus</i>	Cas3, Cas8
	II	II-B	<i>Legionella pneumophila</i>	Cas3, Cas8
	II	II-C	<i>Neisseria lactamica</i>	Cas3, Cas8
	V	V	<i>Francisella cf. Novicida</i>	Cas3, Cas8
	VI	VI	<i>Leptotrichia shahii</i>	Cas3, Cas8

\*Makarova *et al.*, 2011, 2015 [68, 69].

### Mechanism of CRISPR/Cas9 System

The adaptive immunity of CRISPR/Cas9 system consists of three phases: adaptation, expression and interference (Figure 8).



**Fig 8:** In the acquisition phase foreign DNA gets incorporated into the CRISPR loci of bacterial genome. CRISPR loci is then transcribed into primary transcript and processed into crRNA with the help of tracrRNA during crRNA biogenesis. During interference, Cas9 endonuclease complexed with a crRNA and cleaves foreign DNA near PAM region.

Adaptation involves the invading DNA from virus or plasmids that are cleaved into small fragments and incorporated into CRISPR locus. CRISPR loci are transcribed and processed to generate small RNA (crRNA), which guide the effector endonucleases to target the viral material by base complementarity (Barrangou *et al.*, 2007; Yosef *et al.*, 2012) [100, 10]. DNA interference in Type II CRISPR/Cas system requires a single Cas9 protein (Hale *et al.*, 2009; Zetsche *et al.*, 2015b) [39, 102]. Cas9 is a huge protein possessing multiple domains (RuvC domain at the amino terminus and the HNH nuclease domain positioned in middle) and two small RNAs namely crRNA and tracrRNA. Cas9 assists adaptation, participates in pre-crRNA processing to crRNA and introduce targeted DSBs guided by tracrRNA and double stranded RNA specific RNase III (Jackson *et al.*, 2014; Mulepati *et al.*, 2014) [47, 77]. As compared to type II CRISPR, the unique features of type III CRISPR are the cleavage of both DNA and RNA, and its association with the cleavage protein Cas10. The cleavage is a transcription-dependent DNA sequence modification that also contains a transcriptionally active promoter (Samai *et al.*,

2015) [86]. Cas10 system enables bacteria to acquire viral spacer elements enabling a type of resistance against foreign DNA under special conditions. This resistance to foreign/viral DNA prevents activation of the lytic pathway, which is detrimental to the host cell. These sequences could also alter the physical characteristics of the cell, potentially providing a survival advantage for the host cell (Samai *et al.*, 2015) [86].

### Applications of CRISPR/Cas9 System

CRISPR/Cas9 system has been widely used in various organisms for gene mutation, gene expression repression or activation and epigenome editing. (FIGURE 9) CRISPR technology holds potential for loss-of-function, gain-of-function and gene expression analysis. CRISPR has versatile applications in plant biology and is readily developed and successfully applied to produce high quality agriculturally sustainable products (TABLE 3).

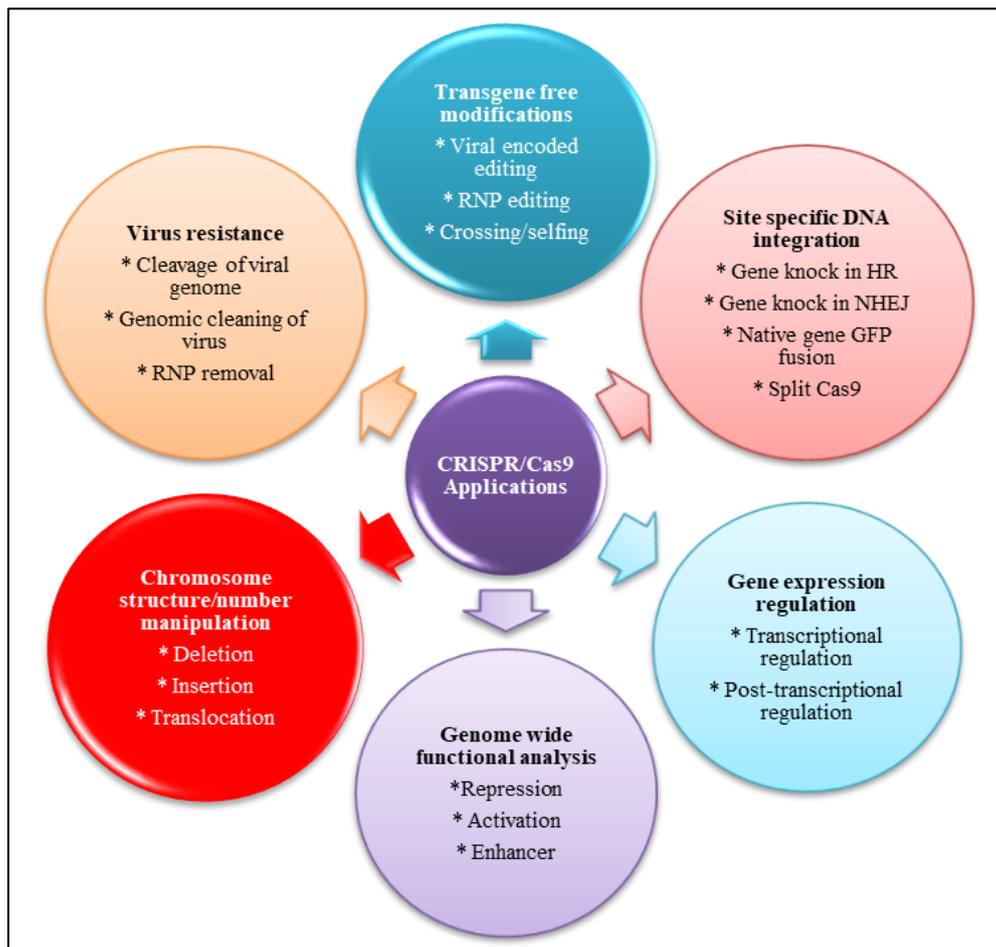
The major applications of CRISPR/Cas9 system include gene knockouts in organisms for identifying the function of single or multiple gene targets (e.g., enzyme genes or micro RNAs)

via gene mutation. Research was done for investigating the capability of CRISPR/Cas9 system as a genome editing tool in *Arabidopsis*, tobacco, rice, wheat, maize, sorghum, tomato, *Brassica spp*, potato, soybean, sweet orange, grape, apple, strawberry, banana, sugarcane and cassava.

#### Advantages of CRISPR/Cas9 system

CRISPR/Cas9 system is more advantageous than other techniques *viz.*, ZFN and TALEN. Like other genome editing tools, CRISPR/Cas9 system does not require any protein engineering or cloning step. Any number of sgRNA can be produced by *in vitro* transcription using two complementary annealed oligonucleotides (Cho *et al.*, 2013) [23]. CRISPR/Cas9 system brings the genome editing within the budget of any molecular biology laboratory. Unlike ZFNs and TALENs, the

CRISPR/Cas9 system can cleave methylated DNA. Approximately 70% of CpG/CpNpG sites are methylated in plants, particularly the CpG islands found in promoters and proximal exons (Vanyushin *et al.*, 2011) [94]. Thus CRISPR/Cas9 system can be used as a versatile tool for genome editing in plant. Multiplex editing by this method requires monomeric Cas9 protein & any number of different sequence-specific gRNAs. In contrast, multiplex editing with ZFNs or TALENs requires separate dimeric proteins specific for each target site. Gene knockout over RNAi CRISPR targets endogenous genes that are impossible to specifically target using RNAi technology. These advantages of CRISPR/Cas9 system make it most popular tool for genome editing.



**Fig 9:** Applications of CRISPR/Cas9 system

#### Challenges of CRISPR/Cas9 System

Although CRISPR system has proved to be best in the current scenario but still it is in its infancy. Most vital drawback is off-target effects in which specific designed sgRNA does not bind to target region in genome and creates non specificity. (Fu *et al.*, 2013) [34] But this can be overcome by employing online *in silico* analysis tools (Yang, 2014) [98]. Several reports have shown some modifications to increase CRISPR/Cas9 target selection including changes in PAM

recognizing domain to add other PAM motifs and incorporation of purines or pyrimidine's as a first nucleotide of sgRNA (Dang, *et al.*, 2015) [28]. Immuno-suppression is also another issue which limits its fidelity (Chew, *et al.*, 2016) [22] but it can be resolved by genome optimization. Also it is difficult to know the influence of chromatin structure as well as side effects on nearby gene. Another challenge is an identifying the mechanisms involved in the different effects of different sgRNAs on mutation frequency.

**Table 3:** List of targeted gene via CRISPR/Cas9 system in different crops.

Plant species	Targeted gene; gene function	Cas9 promotor; codon optimization of Cas9	sgRNA promotor	Transformation method	Multiplex strategy; transformant type	Mutant efficiency; type of mutants	Reference
<i>Arabidopsis thaliana</i>	<i>AtPDS3</i> ; Phytoene desaturase, <i>AtFLS2</i> ; Flagelin sensitive 2, <i>AtRACK1c</i> ; Receptor for activated C kinase, <i>CHL1</i> , <i>CHL2</i> ; magnesium chelatase subunit 1 <i>TRY</i> , <i>CPC</i> and <i>ETC2</i> ; trichome density regulators <i>AtCRU3</i> <i>Atlg16210</i> , <i>Atlg56650</i> , <i>Atlg55580</i> <i>API</i> , <i>TT4</i> <i>FT</i> , <i>SPL4</i> <i>BRI1</i> , <i>JAZ1</i> , <i>GAI</i>	CaMV35SPDK; plant OsUBQ1; human P35S; maize CaMV35S; plant Ubi, CaMV35S; plant AtUBQ1, SPL; plant AtICU2; human 2x35S; human	AtU6 OsU3 AtU6-26, AtU6-29 U6-26 AtU3b, AtU3d, AtU6-1, AtU6-29 AtU6-26 AtU6 AtU6-26	PEG Protoplast co-transfection and <i>Agrobacterium</i> infiltration <i>Agrobacterium</i> mediated transformation Agro-transformation by floral dip <i>Agrobacterium</i> mediated transformation <i>Agrobacterium</i> infiltration <i>Agrobacterium</i> mediated transformation <i>Agrobacterium</i> mediated transformation Agro-transformation by floral dip	Transient method Sequential cloning Golden gate/ Gibson assembly - - -	1.1-5.6% 81.2; Chimeric 82.6%; Chimeric - - -	Feng <i>et al.</i> , 2013 [33]; Li <i>et al.</i> , 2013 [62]; Mao <i>et al.</i> , 2013 [72]; Feng <i>et al.</i> , 2014 [32]; Jiang <i>et al.</i> , 2014 [53]; Xing <i>et al.</i> , 2014 [97]; Hyun <i>et al.</i> , 2015; Johnson <i>et al.</i> , 2015 [55]; Ma <i>et al.</i> , 2015 [67]; Mao <i>et al.</i> , 2016 [73]
<i>Oryza sativa</i>	<i>OsSWEET</i> ; disease susceptibility gene, <i>OsSWEET14</i> <i>OsWaxy</i> ; amylase synthase <i>Gn1a</i> , <i>DEP1</i> , <i>GS3</i> and <i>IPA1</i> ; regulators of grain number, panicle architecture, grain size, plant architecture. <i>ROC5</i> , <i>SPP</i> , <i>YSA</i> <i>OsMYB1</i> <i>OsPDS</i> , <i>OsMPK2</i> , <i>OsBADH2</i>	OsUbi; rice ZmUbi; rice Maize ubiquitin promoter CaMV35S; human OsUBQ1; human 2x35S; rice	OsU6.1, OsU6.2 OsU3, OsU6a, OsU6b, OsU6c U6a OsU6-2 OsU3 OsU6	<i>Agrobacterium</i> and PEG mediated transformation - <i>Agrobacterium</i> transformation in embryonic cell <i>Agrobacterium</i> mediated <i>Agrobacterium</i> mediated Particle bombardment	Sequential cloning Golden gate/ Gibson assembly - - -	12.5% 85.4%; biallelic, homozygous, heterozygous 42.5% (Gn1a), 67.5% (DEP1), 57.5% (GS3)	Feng <i>et al.</i> , 2013 [33]; Jiang <i>et al.</i> , 2013 [52]; Mao <i>et al.</i> , 2013 [72]; Shan <i>et al.</i> , 2013 [87]; Zhou <i>et al.</i> , 2014 [103]; Ma <i>et al.</i> , 2015 [67]; Li <i>et al.</i> , 2016 [63].

**Table 3:** Continued

Plant species	Targeted gene; gene function	Cas9 promotor; codon optimization of Cas9	sgRNA promotor	Transformation method	Multiplex strategy; transformant type	Mutant efficiency; type of mutants	Reference
<i>Camelina sativa</i>	<i>FAD2</i> gene; key enzyme for synthesis of polyunsaturated fatty acids	CaMV35SP	U9P	<i>Agrobacterium</i> floral dip transformation	Golden gate	60.00%	Jiang <i>et al.</i> , 2016 [51]
<i>Triticum aestivum</i>	<i>TaMLO</i> homologs; repress resistance pathway to powdery mildew <i>TaERF3</i> ; (wheat ethylene responsive factor 3), <i>TaDREB2</i> (wheat dehydration responsive factor 3)	ZmUbi, 2x35S; rice 2x35S; rice	TaU6 TaU6	Protoplast transformation Protoplast transformation	- Transient method	5.6% 0.013(TaERF3) and 0.97% (TaDREB2) actual 6.7%	Shan <i>et al.</i> , 2013 [87]; Wang <i>et al.</i> , 2014; Kim <i>et al.</i> , 2017
<i>Zea mays</i>	<i>ZmIPK</i> <i>LIG1</i> (Liguleless gene), <i>Ms26</i> and <i>Ms45</i> (male fertility gene), <i>ALS1</i> and <i>ALS2</i> (acetolactate synthase gene) <i>Argonaute 18</i> , dihydroflavonol 4-reductase	2x35S; plant ZmUbi; maize PZmUbi; plant	ZmU3 ZmU6 PU6.1, PU6.2	<i>Agrobacterium</i> mediated Biolistic transformation Protoplast transformation	- Co-delivery Sequential cloning	- 77-100%; biallelic, heterozygous 70.00%	Liang <i>et al.</i> , 2014 [66]; Char <i>et al.</i> , 2016 [20]; Svitashv <i>et al.</i> , 2016 [92]
<i>Sorghum bicolor</i>	<i>DsRed</i> ; red fluorescent protein	CaMV35S; plant	AtU6	Agro-transformation of immature embryos	Golden gate	50.90%	Fan <i>et al.</i> , 2015 [31]

<i>Nicotiana benthamiana</i>	<i>PDS</i> gene; phytoene desaturase, <i>PDS</i> , <i>PDR6</i> ; phytoene desaturase, <i>NtPDR6</i> ; ABC transporter <i>NbPDS</i> <i>NbPDS</i> , <i>NbPCNA</i> <i>NbPDS</i> , <i>NbIspH</i>	P35S; human 2xCaMV35S; tobacco 2xCaMV3; tobacco 35SPDK; plant CaMV35S; human 35S; plant	AtU6 AtU6 AtU6-26 AtU6 PEBV AtU6-26	Leaf Agro-infiltration PEG Protoplast transfection <i>Agrobacterium</i> mediated transformation <i>Agrobacterium</i> infiltration <i>Agrobacterium</i> mediated transformation <i>Agrobacterium</i> mediated Transformation	Sequential cloning Transient method Restriction cloning Transient method Transient method Transient method	6.7; Chimeric 16.27-20.3 81.8-87.5%; chimeric - - -	Li <i>et al.</i> , 2013 [62]; Nekrasov <i>et al.</i> , 2013 [78]; Ali <i>et al.</i> , 2015 [3]; Gao <i>et al.</i> , 2015 [35]; Yin <i>et al.</i> , 2015 [99];
<i>Solanum tuberosum</i>	<i>StGBSS</i> ; starch synthase gene <i>StMYB44</i> ; transcription factor gene <i>StALS1</i> ; (Herbicide resistance)	CaMV35S; plant CaMV35S; plant CaMV35S; plant	AtU6, StU6 AtU6 AtU6	PEG mediated protoplast transfection <i>Agrobacterium</i> mediated <i>Agrobacterium</i> mediated	Restriction cloning - -	67.00%	Butler <i>et al.</i> , 2015; Andersson <i>et al.</i> , 2016 [6]; Zhou <i>et al.</i> , 2017

**Table 3:** Continued

Plant species	Targeted gene; gene function	Cas9 promotor; codon optimization of Cas9	sgRNA promotor	Transformation method	Multiplex strategy; transformant type	Mutant efficiency; type of mutants	Reference
<i>Camelina sativa</i>	<i>FAD2</i> gene; key enzyme for synthesis of polyunsaturated fatty acids	CaMV35SP	U9P	<i>Agrobacterium</i> floral dip transformation	Golden gate	60.00%	Jiang <i>et al.</i> , 2016 [51]
<i>Triticum aestivum</i>	<i>TaMLO</i> homologs; repress resistance pathway to powdery mildew <i>TaERF3</i> ; (wheat ethylene responsive factor 3), <i>TaDREB2</i> (wheat dehydration responsive factor 3)	ZmUbi, 2x35S; rice 2x35S; rice	TaU6 TaU6	Protoplast transformation Protoplast transformation	- Transient method	5.6% 0.013(TaERF3) and 0.97% (TaDREB2) actual 6.7%	Shan <i>et al.</i> , 2013 [87]; Wang <i>et al.</i> , 2014 [95]; Kim <i>et al.</i> , 2017
<i>Zea mays</i>	<i>ZmIPK LIG1</i> (Liguleless gene), <i>Ms26</i> and <i>Ms45</i> (male fertility gene), <i>ALS1</i> and <i>ALS2</i> (acetolactate synthase gene) <i>Argonaute 18</i> , dihydroflavonol 4-reductase	2x35S; plant ZmUbi; maize PZmUbi; plant	ZmU3 ZmU6 PU6.1, PU6.2	<i>Agrobacterium</i> mediated Biolistic transformation Protoplast transformation	- Co-delivery Sequential cloning	- 77-100%; biallelic, heterozygous 70.00%	Liang <i>et al.</i> , 2014 [66]; Char <i>et al.</i> , 2016 [20]; Svitashv <i>et al.</i> , 2016 [92]
<i>Sorghum bicolor</i>	<i>DsRed</i> ; red fluorescent protein	CaMV35S; plant	AtU6	Agro-transformation of immature embryos	Golden gate	50.90%	Fan <i>et al.</i> , 2015 [31]
<i>Nicotiana benthamiana</i>	<i>PDS</i> gene; phytoene desaturase, <i>PDS</i> , <i>PDR6</i> ; phytoene desaturase, <i>NtPDR6</i> ; ABC transporter <i>NbPDS</i> <i>NbPDS</i> , <i>NbPCNA</i> <i>NbPDS</i> , <i>NbIspH</i>	P35S; human 2xCaMV35S; tobacco 2xCaMV3; tobacco 35SPDK; plant CaMV35S; human 35S; plant	AtU6 AtU6 AtU6-26 AtU6 PEBV AtU6-26	Leaf Agro-infiltration PEG Protoplast transfection <i>Agrobacterium</i> mediated transformation <i>Agrobacterium</i> infiltration <i>Agrobacterium</i> mediated transformation <i>Agrobacterium</i> mediated Transformation	Sequential cloning Transient method Restriction cloning Transient method Transient method Transient method	6.7; Chimeric 16.27-20.3 81.8-87.5%; chimeric - - -	Li <i>et al.</i> , 2013 [62]; Nekrasov <i>et al.</i> , 2013 [78]; Ali <i>et al.</i> , 2015 [3]; Gao <i>et al.</i> , 2015 [35]; Yin <i>et al.</i> , 2015 [99];
<i>Solanum tuberosum</i>	<i>StGBSS</i> ; starch synthase gene <i>StMYB44</i> ; transcription factor gene <i>StALS1</i> ; (Herbicide resistance)	CaMV35S; plant CaMV35S; plant CaMV35S; plant	AtU6, StU6 AtU6 AtU6	PEG mediated protoplast transfection <i>Agrobacterium</i> mediated <i>Agrobacterium</i> mediated	Restriction cloning - -	67.00%	Butler <i>et al.</i> , 2015; Andersson <i>et al.</i> , 2016; Zhou <i>et al.</i> , 2017

Table 3: Continued

Plant species	Targeted gene; gene function	Cas9 promotor; codon optimization of Cas9	sgRNA promotor	Transformation method	Multiplex strategy; transformant type	Mutant efficiency; type of mutants	Reference
<i>Solanum esculentum</i>	<i>SIAG07</i> ; biogenesis of trans-acting short interfering RNAs, <i>Solyc08g041770</i> , <i>Solyc07g021170</i> , <i>Solyc08g044760 ANTI1</i> ; Anthocyanin biosynthesis	P35S; human 35S	AtU6 ANT1, AtU6	Agro-transformation of cotyledons <i>Agrobacterium</i> mediated Transformation	Golden gate Golden gate	48.00%; homozygous, biallelic, chimeric 57.10% heterozygous; 13.10% homozygous	Brooks <i>et al.</i> , 2014 <sup>[16]</sup> ; Cermak <i>et al.</i> , 2015 <sup>[18]</sup>
<i>Gossypium hirsutum</i>	<i>GhCLA1</i> gene (Cloroplastos alterados 1) and GhVP gene (Vacuolar H <sup>+</sup> pyrophosphatase) <i>GhEF1</i> gene (Elngation factor 1); <i>GhCLA1</i> gene (Cloroplastos alterados 1), <i>GhPDS</i> gene ( <i>Phytoene desaturase</i> ) and <i>GhARG</i> gene	2x35S 2x35S	AtU6 AtU6-29, AtU3b	<i>Agrobacterium</i> mediated transformation <i>Agrobacterium</i> mediated Transformation	Golden gate Golden gate/ Transient method	47.60-81.80% 0.82-63.93%; biallelic, deletions, insertions	Chen <i>et al.</i> , 2015 <sup>[19]</sup> ; Gao <i>et al.</i> , 2017
<i>Glycine max</i>	<i>ALSI</i> ; encode acetolactate synthase involved in amino acid biosynthesis <i>Glyma06g14180</i> , <i>Glyma08go2290</i> , <i>Glyma12g37050</i> <i>Glyma07g1450</i> , <i>GmDDM1s</i> , <i>GmMIRs</i>	EF1A2; soybean GmU6; plant 2x35S; human	AtU6-9-1 AtU6, AtU6-10 MtU6	Particle bombardment Protoplast mediated PEG transformation/ <i>Agrobacterium</i> mediated <i>Agrobacterium</i> mediated Transformation	- Golden gate -	59.00-76.00% 3.20-9.70% and 14.70-20.20%; biallelic, monoallelic -	Jacobs <i>et al.</i> , 2015 <sup>[48]</sup> ; Li <i>et al.</i> , 2015; Sun <i>et al.</i> , 2015 <sup>[91]</sup> ;
<i>Brassica oleracea</i>	<i>BoIC.GA4</i> ; ortholog of Arabidopsis GA4a	CsVMW; human	AtU6-26	Agro-transformation of coteledonary petioles	Golden gate	10.00%	Lawrenson <i>et al.</i> , 2015 <sup>[61]</sup>
<i>Populus tomentosa</i>	<i>PDS</i> gene ( <i>Phytoene desaturase</i> )	2xP35S; plant	AtU3b, AtU3d	<i>Agrobacterium</i> mediated Transformation	Golden gate	50.90%	Fan <i>et al.</i> , 2015 <sup>[31]</sup>
<i>Citrus sinensis</i>	<i>CsPDS</i> gene ( <i>Phytoene desaturase</i> ) <i>CsLOB1</i> (Citrus cancer resistance)	CaMV35S; human CaMV35S; human		<i>Agrobacterium</i> infiltration <i>Agrobacterium</i> infiltration	Golden gate Golden gate	-	Jia <i>et al.</i> , 2014 <sup>[50]</sup> ; Peng <i>et al.</i> , 2017

Table 3: Continued

Plant species	Targeted gene; gene function	Cas9 promotor; codon optimization of Cas9	sgRNA promotor	Transformation method	Multiplex strategy; transformant type	Mutant efficiency; type of mutants	Reference
<i>Fragaria vesca</i>	<i>TAA1</i> ( <i>Auxin biosynthesis gene</i> ) and <i>ARF8</i> ( <i>Auxin response factor 8</i> )	UBQ	AtU6-26, FveU6-2	Protoplast mediated PEG transformation/ <i>Agrobacterium</i> mediated	Golden gate	49.00-75.00%	Zhou <i>et al.</i> , 2018
<i>Vitis vinifera</i>	<i>VvMLO 7</i> ( <i>Powdery mildew resistance gene</i> ) <i>ldnDH</i> Biosynthesis of Tartaric acid ( <i>L- idonate dehydrogenase gene</i> ), <i>VvPDS</i> ( <i>Albino devoid green tissue</i> )	- -	- -	Protoplast transformation <i>Agrobacterium</i> mediated Transformation	- -	- -	Malnoy <i>et al.</i> , 2016 <sup>[71]</sup> ; Ren <i>et al.</i> , 2016; Nakajima <i>et al.</i> , 2017
<i>Malus domestica</i>	<i>MdPDS</i> Chlorophyll biosynthesis ( <i>Phytoene desaturase</i> ) <i>DIPM 1-4</i> (DspE-interacting protein) Fire blight resistant gene	2x35S CaMV 2x35S CaMV	AtU6-1 AtU6-1	<i>Agrobacterium</i> mediated transformation Protoplast transformation	Golden gate Golden gate	31.80%	Malnoy <i>et al.</i> , 2016 <sup>[71]</sup> ; Nishitani <i>et al.</i> , 2016 <sup>[79]</sup>

## Conclusion

CRISPR/Cas9 system is a promising tool for genome editing in plants due to its simplicity, efficiency, high specificity and fewer off-target effects. It holds potential for loss-of-function, gain-of-function and gene expression analysis. CRISPR has versatile applications in plant biology and is readily developed and successfully applied to produce high quality agriculturally sustainable products. This transformative tool has made possible to target any gene for required modifications in a more convenient way. It also reshaped the traditional techniques for discovering a particular gene function due to ease in execution, component designing flexibility and target specific mutations. RNA mediated DNA genome editing can accelerate plant breeding by introducing precise and predictable modifications directly in elite cultivars or accessions, saving the time-consuming backcrossing procedure in conventional breeding schemes. Mostly, CRISPR/Cas9 System is optimized in model organisms and now it is applicable in every field of Biological Sciences. This genome editing System has a huge capability for disturbing normal gene function, restoring errors and RNA based targeting against DNA sequence.

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