Assessment of rehabilitation program efficacy in patients with duodenal and gastric ulcer based upon risk reduction of recurrent hospitalization

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Abstract

Background: Notoriously known worldwide cause of morbidity and disability duodenal (DU) and gastric ulcer (GU) experience their rise in Ukraine, demonstrating formidable increase by 38.4% in last decade with the prevalence of 2299 per 100,000 population. Every second patient is treated in-patiently, every third experience disability spell annually. Reduction in related risks confined not so much by absence of effective therapy but rather shortcomings in patient management and patient devotion. By WHO data 50% of patients fail to follow physician prescriptions, 60% can’t forget physician recommendations in first 20 minutes. Ubiquitous belated timing of rehabilitation initiation in post hospital stage appeared to be cardinal obstacle of its efficiency with low (up to 20%) coverage, and securing clinical effect in 8% cases only.

Data: Organised by cohort design. Control cohort comprised 180 patients with first episode of hospitalization due to DU or GU in gastroenterological Vinnitsa city department in 2008-2010 years. Experimental cohort consisted of 220 alike patients who entered rehabilitation program (RP). RP was administered randomly. Randomness was statistically verified on principal confounders. Cases were traced 4 years.

Methods: We applied three modifications of semi-parametric frailty model to study effect of program on the risk of recurrent hospitalization.

Results: All three modifications coincided in that program secured typically at least 39 days to recurrent hospitalization per patient with drop in risk at least at RR = 0.774.

Keywords: rehabilitation, duodenal and gastric ulcer

1. Introduction

By the literature review and our experience timing of rehabilitation administration is the crucial to sustain its efficiency in terms of coverage, adherence, and clinical effect. Innovative to clinical experience in Ukraine is shift in administration of rehabilitation to hospital stage. We also worked out extended program frame that combines 10 scales, namely medication of ulcer, diet modification, overweight control, physiotherapy exercises, management of контроль NSAID-induced gastropathy, risk factor management, blood pressure correction, diabetes management, anxiety and depression management. To empower compliance and to facilitate case management we supplied patient with diary and inculcate the skills of recording on drug intakes, unusual symptoms, complaints, as well as following indicated dates of examinations and physician referrals. The prime evidence of the efficacy of RP administration is the 4% of dropouts only in first 3 months.

2. Materials and Methods

Design. Data organised by cohort design. Control cohort comprised 180 patients with first episode of hospitalization with DU or GU diagnoses in gastroenterological Vinnitsa city department in 2008-2010 years. Experimental cohort consisted of 220 alike patients who enter RP. Program was administered randomly. Randomness was statistically verified on principal confounders. Cases were traced 4 years.

RP frame. RP frame combines 10 scales, namely medication of ulcer, diet modification, overweight control, physiotherapy exercises, management of контроль NSAID-induced gastropathy, risk factor management, blood pressure correction, diabetes management, anxiety and depression management. Each scale has its content, detailed explanation of administration, check points, efficacy evaluation. For instance, we lay ou medication scale composition.
Others in details brought out elsewhere [1].
The Pharma Innovation Journal

Medication scale. Content. Assessment of patient condition, fibrogastroscopy, intragastric pH monitoring, and H-pylori express diagnostic (De-Nol test) at hospitalization. Treatment of Hp-negative ulcers by antisecretory monotherapy starting with Group A1- IPP of 1st generation (omeprazole 40 mg daily) during 4 weeks in case of duodenal ulcer and during 8 weeks in case of gastric ulcer. If prove to be ineffective in 10 days step to Group A2- IPP of 3rd line in standard dosage (rabeprazole 20 mg daily), 4th line in standard dosage (pantoprazole 40 mg daily), 5th line in standard dosage (esomeprazole 40 mg daily) during 4 weeks in case of duodenal ulcer and during 8 weeks in case of gastric ulcer. In persevering cases double dosage of 3rd - 5th lines of IPP drugs. In Hp-positive cases eradication of H.pylori infection starts with B1 - 3rd - 5th lines of IPP drugs (pantoprazole 20 mg twice a day, rabeprazole 20 mg twice a day, esomeprazole 20 mg twice a day) + clarithromycin 500 mg twice a day + amoxicillin 1000 mg twice a day during 10 days and follows with administration of IPP drugs in standard dosages during 3 weeks in case of duodenal ulcer and during 6 weeks in case of gastric ulcer. If ineffective in 10 days step to B2 that is 3rd - 5th lines of IPP drugs in standard dosages + tetracycline 500 mg 4 times a day + metronidazole 500 mg 3 times a day + bismuth subcitrate 120 mg 4 times a day during 10 days. Check points. Daily: self-control by indication of prescribed drugs intakes in diary. Weekly: assessment of clinical symptoms by general physician. Special points: evaluation of treatment efficacy at 10th day intragastric pH monitoring in case of Hp-negative ulcers; evaluation of H.pylori eradication in positive cases in two weeks upon treatment completion by fecal H.pylori antigen test; visits to gastroenterologist upon 1st and 2nd months. Efficacy evaluation. Patient follows prescribed therapy, admissible are 2 failures in day drug intake a month. Patient understands regimen of drug intakes. Achievement of clinical and endoscopic certified remission after 4 weeks of treatment. Robust acid suppressive effect (pH>3) in 10 days from the start of treatment with IPP. Successful H.pylori eradication in positive cases. Follow up includes hospital and out of the hospital stages. To empower compliance and to facilitate case management we supplied patient with diary and inculcate the skills of recording on drug intakes, unusual symptoms, complaints, as well as following indicated dates of examinations and physician referrals. Diary proved to be helpful especially out of the hospital. The prime evidence of the efficacy of RP administration is the 4% of dropouts only in first 3 months. We built efficacy estimation on time to next hospitalization that proved to be very sensitive to quality of care [2]. However, efficacy estimation poses statistical challenge in part due to randomization bias (e.g. self-selection bias), possible measurement error, or unavoidable presence of potent unobservables. So, treatment effect identification problem is conspicuous. We tackled it by control function technique [3].

Model. We have chosen flexible semi-parametric frailty model to study modification effect of RP on the risk of recurrent hospitalization. Frailty model incapacitates the assessment of individual propensity to “survive” till next hospitalization, incorporating unobserved patient’s characteristic influenced risk of recurrent hospitalization differently across patients. Overlooking frailties entails biased and inefficient estimation of survival effects. Frailty model basically incorporates three main components: basic hazard function, changeable in time; function of factors, modifying basic hazard; frailty distribution. Hazard function defined non-parametrically by exponential piece-wise priors. The number of time intervals defined by 0, 25 quantiles of observed time spans distribution that approximately coincides with monthly intervals. Pooling strength and identification of basic risks $\lambda_j$ facilitated through RW1 process, namely (Win BUGS code):

$$\begin{align*}
\text{lam [j]} & \sim \text{dgamma}(a0, b0[j]) \\
b0[j] & \sim \text{dnorm}(0, \tau) \\
\text{lam [1]} & \sim \text{dgamma}(0.1, 0.1) \\
a0 & \sim \text{dgamma}(0.1, 0.1)
\end{align*}$$

So that pooling is defined by gamma distribution with two first moments 0 and $(a_0+1)^2/a_0$. Risk is defined as proportional to basic, modified by exponent of observable covariates effect $\beta_x$:

$$h(t_i \in (q_{j-1}, q_j]|x_i) = \lambda_j \exp(\beta_j \cdot x_i)$$

$\beta_j \cdot x_i$ is expressed by RP effect (parameter beta), bias in randomization of RP administration across patients (beta2), and individual random effects (frailties $b_j$):

$$\beta* \text{Treatment} + \beta2* \text{Treatment} \cdot b_j + b_j$$

The principal parameter to test ATE (average treatment effect) is beta coefficient, purged from possible randomization flaws of patient selection to RP prescription by present beta2 *Treatment $b_j$ component, that is the control function. $b_j$ render individual patient’s effect with expected zero value, achieved by priors generation mechanism (rendered by $b[j]$-$dnorm(0, \tau)$ in program script). Individual patient’s effect includes all possible fixed individual effects both observable and unobservable. Presence of the latter is crucial for bias minimization in RP effect testing. Insignificant beta2 bares evidence on negligibility of bias in ATE estimation due to randomization flaws of patient selection to RP administration. Cumulative risk (defined in program script by $H_0$) was calculated as integration of point risk $h(t_i \in (q_{j-1}, q_j]|x_i)$ on quantiles bounded time interval $q_j - q_{j-1}$ as follows:

$$H_0 = \int_{q_{j-1}}^{q_j} h(t_i \in (q_{j-1}, q_j]|x_i) \text{d}t_i$$

Survival functions in experimental and control cohorts members (defined in program code by $S[1]$ and $S[2]$) were calculated by formulas:

$$S[1] = \exp(-\sum_{j=1}^{J} H_0)$$

$$S[2] = \exp(-\sum_{j=1}^{J} H_0)$$

Implementation and programming. Powerful modern tool to implement hierarchical mixt models proved to be MCMC modelling. We opted for convenient Gibbs sampler. Programming performed in WinBUGS (Bayesian inference using Gibbs software) environment. Data preparation as well as convergence diagnostics have been performed in environment of R v.3.1.0 package CODA. Displayed graphics were created by R package GRAPHICS. Program script is given below. It works in R environment. Script, data, initial values are passed to and processed by WinBUGS, activated through call <<bugs>> of R package R2 Win BUGS. Sampled
values are returned to R as special WinBUGS class object (named «results» in the script).

```
pkg <- "R2WinBUGS"
library(pkg, character.only = TRUE)
WD <- "C:/Dissertations/Natasha/NatashaGL5"
TD <- getwd()
if(is.null(WD) & WD!=TD) setwd(WD)
data<-read.table("GastroStacked.txt", header = TRUE)
model.file <- "Model/WinBugModel.txt"
cat("model {
for (i in 1:I)
  TI[i]<-Treatment [i]*b [Patient [i]]
for (k in 1:K-1) {# risk status for subject i at interval k,
y[i,k] <- step (Tbetw [i] - a[k]) * step (a[k+1] – Tbetw [i])
  # time spent in interval k
  o[i,k] <-(min (Tbetw [i], a[k+1]) - a[k])*step (Tbetw [i] - a[k])
  # piecewise exponential
  theta[i,k]<-lam[k]*exp(beta*Treatment[i]+beta2*TI[i]+
b[Patient[i]])
  mu[i,k] <- o[i,k]*theta[i,k]; y[i,k] ~dpois (mu[i,k]);
  # likelihood (nu used to avoid logs of zero)
  nu[i,k] <- equals(mu[i,k],0) +(1-equals(mu[i,k],0))*mu[i,k]
  LL[i,k] <- y[i,k]*log (nu[i,k])-mu[i,k]-logfact(y[i,k])}
# multi-level variation: Patient effects
for (j in 1:NUM) {b[j]~dnorm(0,tau); b.r[j] <- b[j]-mean(b[])}
tau~dgamma(1, 0.01)
sig <- 1/sqrt(tau)
# Gamma process priors on baseline hazard
for (k in 2:K-1) {lam[k]~dgamma(a0, b0[k]); b0[k] <-
a0/lam[k-1]}
lam[1]~dgamma(0.001);beta2~dnorm(0,0.001);
# treatment parameter
beta~dnorm(0,0.001);beta2~dnorm(0,0.001);
a0~dgamma(0.001,0.001)
# Cum Hazard and Survivorship
H0[1] <- lam[1]*a[1]; for (k in 2:K-1) {H0[k] <- lam[k]*a[k-1]}
for (j in 1:K-1) {S[j,j] <- pow(exp(-sum(H0[1:j])), exp(beta))
  S[j+1,j] <- exp(-sum(H0[1:j]));
# deviance
Dv <- -2*log(sum(LL[])); file=model.file}
q<-quantile(data$Tbetw, probs = seq(0, 100, by=2.5)/100)
q<-as.numeric(q)
K<-length(q)
I <- nrow(data)
PatientNumber<-400
Tbetw<data$Tbetw
Treatment<data$Treatment
Patient<data$Patient
data=list(a=q,K=K,I=I, NUM=PatientNumber, Tbetw=Tbetw,
  Treatment = Treatment, Patient=Patient)
inits <- function(){
list(b=rep(0, times=PatientNumber), lam=rep(1, times=K-1),
tau=0.01, a0=0, beta=0, beta2=0)
}
parameters <- c("beta", "beta2", "lam", "sig", "a0", "S", "Dv")
results<-bugs(data=data, inits=inits, parameters.to.save=parameters,
model="WinBugModel.txt", debug=TRUE,
n.chains=1, n.iter=10000, bugs.seed=1966,
bugs.directory="/c/Bugs/WinBUGS14",
working.directory="Model",
clearWD=FALSE, DIC=FALSE, codaPkg=FALSE)
#convergence diagnostics: Geweke’s, Heidelberger and Welch’s convergence diagnostic tests: codat<read.coda(output.file="Model/coda1.txt", index.file="Model/codaIndex.txt")
geeweke<-geweke.diag(codat, frac1=0.1, frac2=0.5)
z<-geweke$z
i<-array(81:123)
z<-z[i]

3. Results and Discussion
Distribution of time spans (in days) to recurrent hospitalisation is displayed in Fig.1. Distribution demonstrates right skew and conspicuous deviation from normality that in part calls for non-parametric approach. Advantages of MCMC modelling also include capability of yielding posterior distributions of parameters sampled values. We opted to display 5% (0.05) and 95% (0.95) centiles of posterior distributions of parameters values (Table 1) along with convergence diagnostics tests (Geweke’s Z and Heidelberg- Welch half width value).

Table 1: Centiles values of posterior distributions of sampled parameters values with convergence diagnostics tests

<table>
<thead>
<tr>
<th>Parameters</th>
<th>Centiles values</th>
<th>Geweke’s Z</th>
<th>H-W ht</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>0.05</td>
<td>Median</td>
<td>0.95</td>
</tr>
<tr>
<td>beta</td>
<td>0.4010</td>
<td>-0.2363</td>
<td>-</td>
</tr>
<tr>
<td>beta2</td>
<td>-9.397</td>
<td>0.8838</td>
<td>8.525</td>
</tr>
<tr>
<td>lam</td>
<td>0.0009</td>
<td>0.0017</td>
<td>0.0028</td>
</tr>
<tr>
<td>sig</td>
<td>0.0008</td>
<td>0.0016</td>
<td>0.0016</td>
</tr>
<tr>
<td>a0</td>
<td>0.0009</td>
<td>0.0014</td>
<td>0.0021</td>
</tr>
<tr>
<td>lam2</td>
<td>0.0027</td>
<td>0.0039</td>
<td>0.0059</td>
</tr>
<tr>
<td>lam3</td>
<td>0.0036</td>
<td>0.0054</td>
<td>0.0078</td>
</tr>
<tr>
<td>lam4</td>
<td>0.0051</td>
<td>0.0081</td>
<td>0.0132</td>
</tr>
</tbody>
</table>
The most important are parameter beta that actually estimates ATE of RP along with beta2 that makes allowance for possible randomization flaws of patient selection to RP and corrects for bias the ATE estimate due to heterogeneity of control and experimental cohorts correlated with selection. According to the results the ATE is proved to be significant leaving 0 beyond the limits of 95% posterior distribution interval [-0.4010; 0.0701] with median of -0.2363. Relative risk of RP administration on risk of recurrent hospitalization constituted exp (-0.2363) = 0.790. That is, risk of recurrent hospitalization reduced typically by 21% by RP administration.

Values of 5% (0.05) and 95% (0.95) centiles of posterior distribution of sampled beta2 values negate the significance of randomization bias effect, for 0 lays in the middle of 95% posterior distribution interval which is [-9.397; 8.525]. Wide and symmetrical around 0 posterior distribution 95% interval is conspicuous indication of the absence of randomization inconsistencies. We can suggest the absence of randomization induced bias in estimation of ATE that goes as corollary.

Median values of sampled values of basic risks of recurrent hospitalization on 40 time intervals (λ1 - λ40) stipulated conspicuous pattern with rise and leveling off from 18 to 32 months from discharge followed by bluff decline (Fig. 2). All basic risk estimates proved to be significant for all 95% confidence intervals of posterior distributions left zero beyond. Two survival curves tracing proportions of patients in experimental and control cohorts in wait of recurrent hospitalization built by the model (Fig. 3). Distinct patterns were observed with decreased survival among control cohort representatives. Cumulated difference appeared to be 49 days per patient. This additional amount of days to next hospitalization safeguarded by RP that constitutes the effect of RP administration.

We also applied 2 extended models. Second included in linear predictor important covariates. Only two covariates demonstrated marginally significant effect, namely GU with 95% confidence posterior interval of -0.140 - 0.890 and median 0.379, that is increased risk of 1.46 against DU, and regular visits to gastroenterologist with increased relative risk RR = 1.24. RP effect with RR = 0.762 saved 46 additional days to next hospitalization in average per patient. Still frailties distribution persisted to be heterogeneous that was tackled by third model that implemented scale mixture priors of frailties.

The only significant fixed covariate effect rendered by contrast GU-DU. RP effect with RR=0.774 saved 39 additional days. Obtained results and model comparison (Table 2) supported robustness of RP administration effect.

**Table 2: Effects of RP administration by 3 models**

<table>
<thead>
<tr>
<th>Characteristics</th>
<th>Model #1</th>
<th>Model #2</th>
<th>Model #3</th>
</tr>
</thead>
<tbody>
<tr>
<td>Information value,-2LL</td>
<td>4095</td>
<td>4074</td>
<td>3854</td>
</tr>
<tr>
<td>RR</td>
<td>0.790</td>
<td>0.762</td>
<td>0.774</td>
</tr>
<tr>
<td>Saved days</td>
<td>49</td>
<td>46</td>
<td>39</td>
</tr>
</tbody>
</table>

4. Conclusions

1. Belated timing of rehabilitation initiation in post hospital stage appeared to be cardinal obstacle of its efficiency with low (up to 20%) coverage, and securing clinical effect in 8% cases only.
2. We shifted administration of rehabilitation to hospital stage. Program frame combines 10 scales, namely medication of ulcer, diet modification, overweight control, physiotherapy exercises, management of NSAID-induced gastropathy, risk factor management, blood pressure correction, diabetes management, anxiety and depression management.
3. To empower compliance and to facilitate case management we supplied patient with diary and inculcate the skills of recording on drug intakes, unusual symptoms, complaints, as well as following indicated dates of examinations and physician referrals. The prime evidence of the efficacy of RP administration is the 4% of dropouts only in first 3 months.
4. Program efficacy estimation relied upon time to recurrent hospitalization that proved to be very sensitive to quality of care. We have chosen flexible semi-parametric frailty models to study modification effect of program on the risk of recurrent hospitalization.
5. Results of three models coincided in that program secured typically at least 39 days to recurrent hospitalization per patient with drop in risk at least at RR=0.774.

5. References

2. Wooldridge JM. Estimating average partial effects under conditional moment independence assumptions, the Institute for fiscal studies, Department of economics, ucl cemmap working paper cwp 2004; 03/04:38.